

Preference for 6-*Exo-Trigonal* Closures of ω -Hydroxy- $\alpha\beta$ -unsaturated Esters

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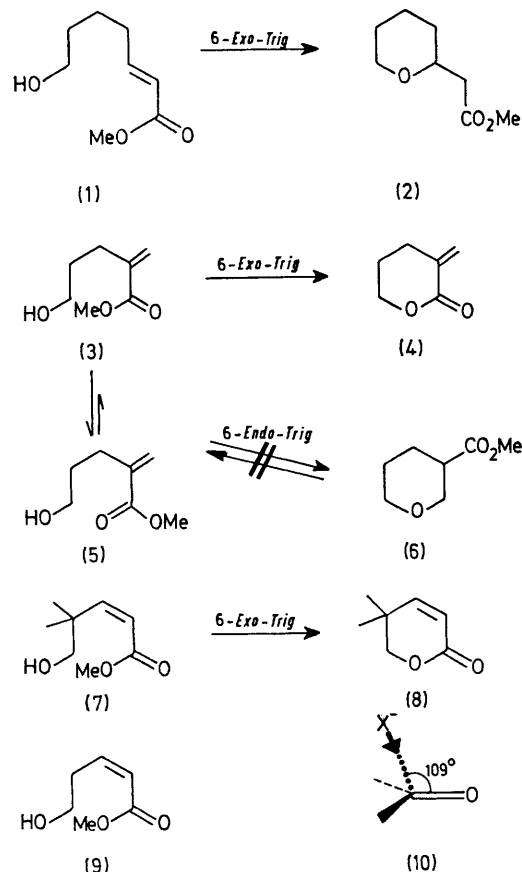
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Summary Methyl 5-hydroxy-2-methylenevalerate (**3**) under basic conditions cyclises preferentially to α -methylene- δ -valerolactone (**4**) by a 6-*Exo-Trig* process which is a faster reaction than the alternative 6-*Endo-Trig* mode of ring closure.

In a recent general treatment of ring closure reactions¹ it was suggested that both 6-*Exo-Trigonal* and 6-*Endo-Trigonal* processes are favoured for the formation of six-membered rings. Experimental support for this suggestion was obtained.² We now demonstrate that when a choice of these two modes of ring closure exists in ω -hydroxy- $\alpha\beta$ -unsaturated esters, the *Exo* pathway is the faster ring closing process.

Treatment of the *trans*-heptenoate ester (**1**)³ with a variety of bases, e.g., sodium hydride, sodium methoxide, and potassium *t*-butoxide, led rapidly to the cyclic ether (**2**)⁴ in quantitative yield via the 6-*Exo-Trig* pathway. In contrast, the ester (**3**)[†] cyclised relatively slowly under the same conditions to the α -methylene lactone (**4**)⁵ and gave none of the product of the 6-*Endo-Trig* mode (i.e., the intramolecular Michael addition) namely, the tetrahydropyran (**6**).⁶ The tetrahydropyran (**6**), synthesized by an independent method, was found readily to exchange the proton α to the methoxycarbonyl group under the cyclisation conditions of (**3**) to (**4**), thereby demonstrating that formation of (**4**) is kinetically determined.[‡] The approximate relative rates of the ring closures (**1**) \rightarrow (**2**) and (**3**) \rightarrow (**4**) are 100–1000:1, depending on the basic conditions used. We attribute the relatively slower formation of (**4**) from (**3**) to the preferred *s-trans* conformation (**5**)⁷ in which the 6-*Exo-Trig* closure is sterically improbable. In keeping with this explanation is the extremely rapid closure of the ester (**7**)[§] to the lactone (**8**)⁸ even under neutral conditions.[¶]

We have previously indicated¹ that the relative facility of the *Exo* over the *Endo* modes of ring closure in the trigonal systems is a result of the trajectory (**10**) for nucleophilic attack on a carbonyl or its analogue.⁹



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[†] Satisfactory elemental analysis and spectral data were obtained.

[‡] It should be remembered that the 6-*Endo-Trig* process is favoured (ref. 1) and such *retro*-6-*Endo-Trig* reactions are known. However, under these reaction conditions the rates of these processes are low relative to the *Exo* mode of ring closure.

[§] The ester could not be isolated as a pure single compound. During isolation, rapid formation of the lactone (**8**) occurred.

[¶] The dimethyl substituted hydroxy ester (**7**) was chosen for this study rather than the parent hydroxy ester (**9**) in order to prevent the possibility of dehydration (J. W. Cornforth, R. H. Cornforth, G. Popjak, and I. Y. Gore, *Biochem. J.*, 1958, **69**, 146) occurring as a competing reaction to give a penta-2,4-dienoic acid ester. We realize that the methyl groups probably contribute to the rapid rate of ring closure of the hydroxy ester (**7**) (R. M. Beesley, C. K. Ingold, and J. F. Thorpe, *J. Chem. Soc.*, 1915, **107**, 1080).

¹ J. E. Baldwin, *J.C.S. Chem. Comm.*, 1976, 734.

² J. E. Baldwin, J. Cutting, W. Dupont, L. Kruse, L. Silberman, and R. C. Thomas, *J.C.S. Chem. Comm.*, 1976, 736.

³ L. D. Bergelson, E. V. Dyatlovitskaya, and M. M. Shemyakin, *Izvest. Akad. Nauk S.S.S.R. Otdel. khim. Nauk*, 1963, 506. (*Chem. Abs.*, 1963, **59**, 3766d).

⁴ G. I. Fray, R. H. Jaeger, E. D. Morgan, R. Robinson, and A. D. B. Sloan, *Tetrahedron*, 1961, **15**, 18.

⁵ E. R. H. Jones, T. Y. Shen, and M. C. Whiting, *J. Chem. Soc.*, 1950, 230; A. D. Harmon and C. R. Hutchinson, *J. Org. Chem.*, 1975, **40**, 3474.

⁶ Smith, Kline and French Laboratories, B.P. 1,099,209 (*Chem. Abs.*, 1968, **69**, P10361g).

⁷ 1,3-Dienes and $\alpha\beta$ -unsaturated aldehydes and ketones are known to have a preferred *s-trans* conformation, see E. Eliel, 'Stereochemistry of Carbon Compounds,' McGraw-Hill, New York, 1962, p. 331. The stereochemistry of $\alpha\beta$ -unsaturated esters is less clear and is solvent dependent but the *s-trans* conformation appears to be preferred: D. B. Cunliffe-Jones, *Spectrochim. Acta*, 1965, **21**, 245; R. J. W. LeFevre and K. M. S. Sundaram, *J. Chem. Soc.*, 1963, 1880; 3188.

⁸ R. E. Bowman and J. F. Cavalla, *J. Chem. Soc.*, 1954, 1171.

⁹ For example see: H. B. Burgi, J. D. Dunitz, J.-M. Lehn, and G. Wipff, *Tetrahedron*, 1974, **30**, 1563; H. B. Burgi, J. D. Dunitz, and E. Shefter, *J. Amer. Chem. Soc.*, 1973, **95**, 5065.